

18 NCAC 06A .1306 CONFIRMATION OF REGISTRATION

Registrations are effective upon confirmation in writing by the administrator and shall remain effective until the offering is completed, unless a stop order is in effect. It is recommended that a dealer make no sales or related transactions until confirmation of registration is received.

*History Note: Authority G.S. 78A-25(c); 78A-26(c); 78A-27(c); 78A-29; 78A-49(a);
Eff. April 1, 1981;
Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December 6,
2016.*